



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Takashi Ootawara, et al.                      **Examiner:** Beverly M. Flanagan  
**Serial No:** 10/017,743    **Art Unit:** 3739  
**Filed:** December 14, 2001                                        **Docket:** 15163  
**For:** ENDOSCOPE AND  
          ENDOSCOPE SYSTEM  
          THEREOF    **Dated:** April 28, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. International Publication No. WO 01/58360 A2, published August 16, 2001;
2. Tajiri, H., et al., "Practical Endoscopic Cholangiopancreatography to Establish a Safer Endoscopic Technique", published by Nihon Medical Center, together with a partial English translation; and
3. Tatsuta, M., et al., "Digestive Endoscopy Technical Manual", published by Nankodo Co., Ltd., together with a partial English translation.

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**MAY 06 2004**

**TECHNOLOGY CENTER R3700**

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

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Dated: April 28, 2004

  
Thomas Spinelli

Applicants are submitting copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(c), a check in the amount of \$180.00 is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Thomas Spinelli", written over a horizontal line.

Thomas Spinelli

Registration No.: 39,533

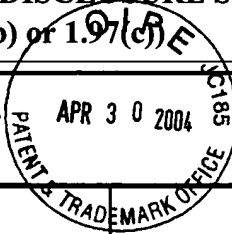
Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

TS:cm

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15163

In Re Application Of: **Takashi Ootawara, et al.**



Serial No.  
10/017,743

Filing Date  
December 14, 2001

Examiner  
Beverly M. Flanagan

Group Art Unit  
3739

Title: **ENDOSCOPE AND ENDOSCOPE SYSTEM THEREOF**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- the statement specified in 37 CFR 1.97(e);
- OR**
- the fee set forth in 37 CFR 1.17(p).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15163

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10/017,743

Filing Date

December 14, 2001

Examiner

Beverly M. Flanagan

Group Art Unit

3739

**ENDOSCOPE AND ENDOSCOPE SYSTEM THEREOF**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of **\$180.00** is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- Charge the amount of
- Credit any overpayment.
- Charge any additional fee required.

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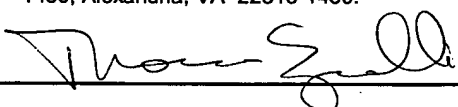
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\_\_\_\_\_  
Thomas Spinelli

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\_\_\_\_\_  
Signature

Dated: **April 28, 2004**

**Thomas Spinelli**

Registration No.: **39,533**

**Scully, Scott, Murphy & Presser**

**400 Garden City Plaza**

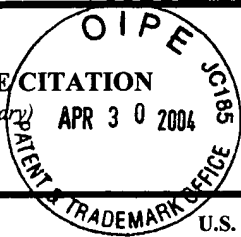
**Garden City, NY 11530**

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**INFORMATION DISCLOSURE CITATION**

*(Use several sheets if necessary)*



Docket Number (Optional) <b>15163</b>	Application Number <b>10/017,743</b>
Applicant(s) <b>Takashi Ootawara, et al.</b>	
Filing Date <b>December 14, 2001</b>	Group Art Unit <b>3739</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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**MAY 06 2004**  
**TECHNOLOGY CENTER R3700**

**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	<b>WO 01/58360 A2</b>	<b>8/16/2001</b>	<b>PCT</b>				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	Tajiri, H., et al., "Practical Endoscopic Cholangiopancreatography to Establish a Safer Endoscopic Technique", published by Nihon Medical Center, together with a partial English translation
	Tatsuta, M., et al., "Digestive Endoscopy Technical Manual", published by Nankodo Co., Ltd., together with a partial English translation

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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**EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**